

2022年 | 国际投资者大会

上海证券交易所 | Global Investors Conference 2022

开放、创新与可持续 | Openness, Innovation and Sustainability

2022年11月10日 | November 10, 2022

主题演讲 | Keynote Speech

当前国际形势下的中国宏观经济展望

Macro Outlook : China Economy in Today's Global Context



彭文生 PENG Wensheng

中金公司首席经济学家、研究部负责人、中金研究院执行院长
Chief Economist
Head of Research Department
Executive Dean of the CICC Global Institute (CGI), CICC

彭文生博士，中金公司首席经济学家、研究部负责人、中金研究院执行院长，兼任香港外汇基金咨询委员会辖下货币发行委员会委员、清华大学五道口金融学院研究生导师。曾任IMF经济学家、香港金管局经济研究处和中国内地事务处主管、巴克莱资本首席中国经济学家等。

Dr. Peng Wensheng is the Chief Economist and Head of Research at the China International Capital Corporation Limited (CICC), and also the Executive Dean of the CICC Global Institute. From 2008 to 2010, he was Head of China Research at Barclays Capital. Prior to that, he worked at the Hong Kong Monetary Authority for ten years, serving as Head of Economic Research Division and Head of Mainland China Affairs Division at different points of time.

交易所主题 | Exchange

中国债券市场开放新阶段

New Phase in China's Bond Market's Opening-up

主持人/Moderator



芮颖 SOPHIA RUI

上海证券交易所债券业务部副总监
Executive Director of Bond Market Department, SSE

芮颖，经济学及国际金融双硕士。现为上海证券交易所债券业务部副总监，负责债券研究、交易、产品创新相关工作。2015年加入上海证券交易所，任职国际发展部副总监，负责沪伦通的可行性和落地实施。具有丰富的从业经历，先后在中国人民银行、投资银行和资产管理行业工作十余年。

Sophia Rui is currently Executive Director of Bond Market Department at Shanghai Stock Exchange (SSE), responsible for research, trading and product innovation in the exchange bond market. She joined SSE in 2015 and was in charge of Shanghai-London Stock Connect feasibility study and implementation of the project as Executive Director of Department of International Affairs. Prior to joining SSE, Ms. Rui received dual master in Economics and International Finance and had over 15 years of working experience in PBOC, investment banking and asset management industry.

讨论嘉宾/Panelists



方中睿 SAMUEL FISCHER

德意志银行（中国）有限公司债务资本市场部主管
Head of DCM, Deutsche Bank (China) Co., Ltd.

方中睿先生是德意志银行（中国）有限公司债务资本市场部的主管，担任北京分行副行长职位。方行长从头开始建立德银的中国境内债务资本市场团队和业务，并在国内固定收益市场拥有超过15年的经验。他拥有日内瓦国际关系学院硕士学位，并曾在北京大学和北京语言大学留学，拥有CFA注册金融分析师资格。方行长是瑞士公民和中国永久居民，从1999年开始在中国生活和工作了近20年。

Samuel Fischer (CFA) is Head of DCM at Deutsche Bank (China) Co., Ltd. and also acts as Deputy Beijing Branch Manager. Sam has build DB's China Onshore DCM team and business from the ground up, and has more than 15 years of experience in the domestic fixed income market. Sam holds an MA from the Graduate Institute of International Studies in Geneva, and has studied at Peking University and Beijing Language & Culture University. A Swiss citizen and Chinese PR, Sam has lived and worked in China for a total of almost 20 years, starting in 1999.

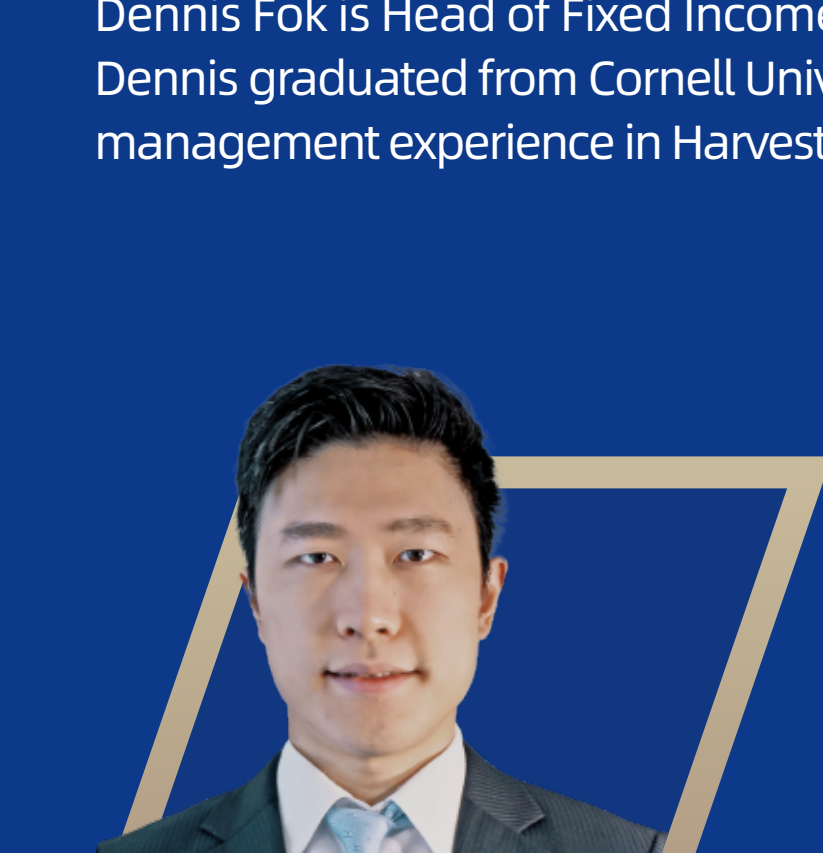


霍浩华 DENNIS FOK

未来资产环球投资(香港) ETF固定收益投资主管
Head of Fixed Income ETF Portfolio Management,
Mirae Asset Global Investments (Hong Kong)

霍浩华 (Dennis Fok)，现任未来资产环球投资(香港) ETF固定收益投资主管。霍先生毕业于康奈尔大学金融工程系，拥有逾十五年的丰富基金管理经验，曾担任嘉实国际ETF业务主管，满地可银行全球资产管理公司ETF投资组合高级经理，贝莱德集团资深投资组合经理。

Dennis Fok is Head of Fixed Income ETF Portfolio Management at Mirae Asset Global Investments (Hong Kong). Dennis graduated from Cornell University Financial Engineering department and he has over 15 years of portfolio management experience in Harvest Global Investment, Bank of Montreal Global Asset Management and Blackrock.

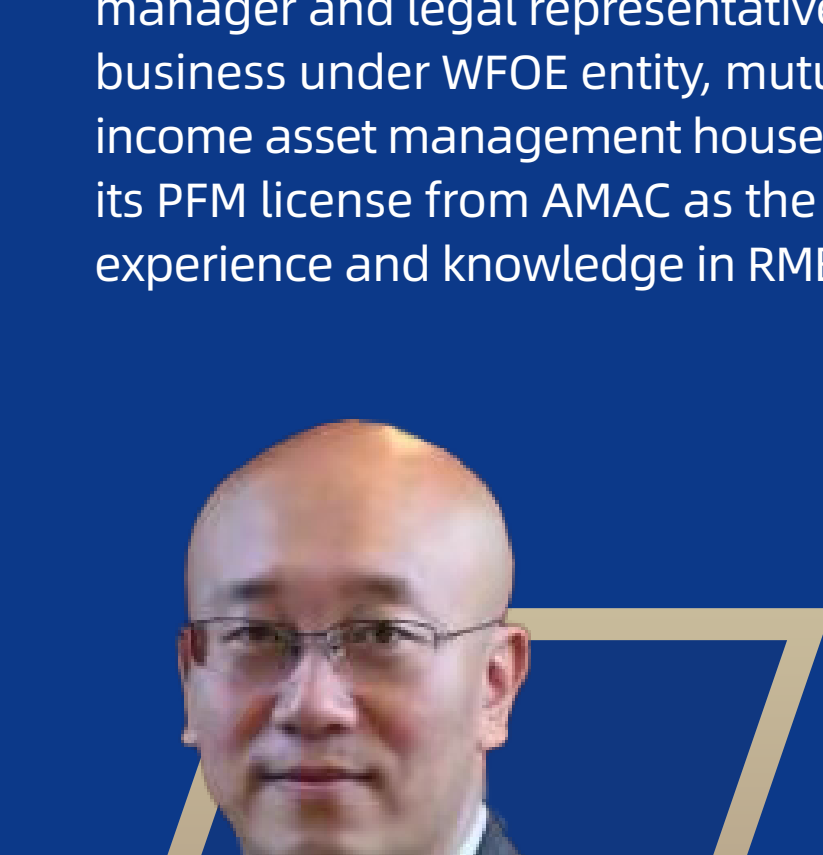


张毅 FRANCO ZHANG

弘收投资管理（上海）有限公司总经理
General Manager, Income Partners Shanghai Limited

张毅，现任弘收投资管理（上海）有限公司总经理及法人，负责弘收投资在国内的资产管理业务，包括WFOE项下私募基金业务，互认基金业务以及其他客户服务工作。弘收投资于2018年设立上海子公司，并于2020年获批成为第25家外商独资私募证券投资基金管理人，公司核心投资方向为人民币固定收益领域和亚洲区美元债券的投资。

Mr. Franco Zhang is responsible for Income Partners's onshore business in China, he served as the general manager and legal representative of Income Partners Shanghai Limited. Who he is charging of group's PFM business under WFOE entity, mutual recognition fund and other client services job. Income Partners, a fixed income asset management house founded in 1993, established its Shanghai subsidiary in 2018, and obtained its PFM license from AMAC as the 25th WFOE manager in 2020, firm's core investment edge lies in its depth experience and knowledge in RMB fixed income investment and Asian USD bond investment.



窦长宏 DOU Changhong

中信证券固定收益部销售团队、研究团队负责人
Head of Sales Team and Research Team of FICC Dept, CITICS

窦长宏，中信证券固定收益部销售团队、研究团队负责人，拥有超过25年债券市场从业经验。进入中信证券以来，先后就职项目管理部、基金部、固定收益部、资本市场部。曾负责了三峡债、铁道债、中国移动债、电网债等多只中国境内市场里程碑式债券项目发行工作，投资人群体覆盖了境内外各类型投资机构，具有丰富的债券发行与销售经验。

Mr. Dou heads the sales team and research team of CITICS FICC dept. A veteran of over 25 years' experience in China bond market, Mr. Dou has worked in Project Management dept., Fund dept., FICC dept., and Capital Market dept. since joining CITICS. His rich experience scattered in multiple milestone debt financing deals in the China bond market, including the issuance of the 'Three Gorges' debt, China Railway Construction debt, China Mobile debt, and China's State Grid debt etc. Mr. Dou serves an extensive client base including every type of institutions in both onshore and offshore and has abundant experience of debt issuance, sales, and pricing.



吴方芳 WU Fangfang

中国银行交易中心（上海）暨上海总部金融市场部
本币固定收益交易团队负责人
Head of Global RMB Fixed-income Trading Team,
Bank of China Trading Center (Shanghai)

高级交易员，现任中国银行交易中心（上海）暨上海总部金融市场部本币固定收益交易团队负责人。拥有二十余年全球市场从业经历，曾派驻中银集团海外机构工作多年，熟悉境内外金融市场和产品，具备扎实的市场理论基础和丰富的交易业务经验。近年来带领团队在银行间本币市场做市交易中表现优异，连年获评核心交易商、优秀交易商、对外开放贡献奖、市场创新奖等，是最具市场影响力的做市团队之一。其个人也多次获得银行间本币市场优秀交易主管、优秀交易员等奖项。

Mr. Wu currently heads up the global RMB Fixed-income trading team at Bank of China Trading Center (Shanghai). He has 20+ years of global markets experience, worked in overseas institutions of BOC Group for many years, and is familiar with both onshore and offshore financial markets and products. He has a solid theoretical foundation of the market and rich experience in trading business. In recent years, he has led his team to stellar performance in the China Inter-Bank Bond Market (CIBM), and is one of the most influential market-making teams. They have garnered a number of prestigious awards, such as the Top Dealer, the Top Contributor to Market Opening-Up, the Market Innovation Award, etc. Personally, he has been awarded the Outstanding Trading Supervisor and the Outstanding Trader for multiple years.

ETF市场发展及开放新机遇

ETF Market: New Developments and Opportunities with SSE

主持人/Moderator



陈代云 CHEN Daiyun

上海证券交易所创新产品部副总监
Executive Director, Product Innovation Department,
Shanghai Stock Exchange

经济学博士，CFA，现为上海证券交易所创新产品部副总监。此前曾服务于海通证券，先后担任海通证券衍生产品部副总经理、海通创新证券投资有限公司副总经理兼合规总监和首席风险官等职务。

Mr. Chen Daiyun, PhD, CFA, now serves as the Executive Director of Shanghai Stock Exchange Product Innovation Department. He previously served as the Deputy General Manager of Haitong Securities Derivatives Department. Mr. Chen also served as the Deputy General Manager, CRO and CCO of Haitong Innovation Investment Co. Ltd. before he joined Shanghai Stock Exchange.

讨论嘉宾/Panelists



张德根 ZHANG Degen

华夏基金管理有限公司副总经理
Deputy General Manager, ChinaAMC

张德根先生，香港中文大学金融MBA，现任华夏基金管理有限公司副总经理。曾任长城证券股份有限公司研究助理，华夏基金管理有限公司深圳分公司总经理，华夏基金管理有限公司广州分公司总经理，上海华夏财富投资管理有限公司副总经理、华夏基金管理有限公司总经理助理等。

Mr. Zhang Degen, MBA in Finance from CUHK, is currently the Deputy General Manager of ChinaAMC. He served as Research Assistant of Great Wall Securities, General Manager of ChinaAMC Shenzhen Branch, General Manager of ChinaAMC Guangzhou Branch, Deputy General manager of China Wealth Management, and Assistant General Manager of ChinaAMC.



范岳 FAN Yue

易方达基金副总裁
Vice President of E Fund

范岳先生，工商管理硕士，现任易方达基金副总裁，分管指数投资和国内机构业务。范岳先生拥有28年的证券从业经验，于2011年加入易方达基金。在加入易方达基金之前，范岳先生服务于深圳证券交易所，曾任基金管理部总监等职务。

Mr. Fan Yue, MBA, is currently the vice president of E Fund, in charge of index investment and domestic institutional client business. Mr. Fan Yue has 28 years of experience in the securities industry. He joined E Fund in 2011. Prior to joining E Fund, Mr. Fan Yue served in the Shenzhen Stock Exchange, where he served as director of the fund management department.



李笑薇 LI Xiaowei

富国基金副总经理
Deputy General Manager, Fullgoal Fund Management

李笑薇博士，富国基金管理有限公司副总经理，负责量化投资、多元资产配置投资。2009年6月加入富国基金之前，曾任巴克莱国际投资管理有限公司（BGI）大中华主动权益投资总监、高级基金经理、高级研究员，MSCIBarra 权益研究部高级研究员等。北京大学学士，普林斯顿大学硕士，斯坦福大学博士。

Dr. Xiaowei Li, Chief Investment Officer, Quantitative Equity Investment, Asset Allocation Investment, Fullgoal Asset Management. Before joining Fullgoal in June 2009, she was Senior Portfolio Manager, Senior Research Officer, and Head of Greater China Active Equity, Barclays Global Investors, and Senior Researcher at Equity Research, MSCIBarra. Ph.D. from Stanford University, MPA from Princeton University, BA from Peking University.



张弛 ZHANG Chi

贝莱德基金管理有限公司总经理
General Manager of the BlackRock Fund Management

张弛先生现任贝莱德基金管理有限公司总经理。曾任泰康资产管理有限责任公司副总经理、首席产品官、董事总经理及执行委员会成员，光大保德信基金管理有限公司副总经理兼首席市场总监。此前，张弛先生还曾供职于华安基金管理有限公司和中国建设银行。张弛先生是特许金融分析师（CFA）持证人，并获得英国兰卡斯特大学金融学理学硕士学位。

Mr. Zhang Chi, CFA, general manager of the BlackRock Fund Management Co. Ltd. Before his current position, he worked at Taikang Asset Management as Deputy CEO & Chief Product Officer, and Managing Director of the Executive Committee. Before taking up his position at Taikang, Mr. Zhang served as Deputy CEO & CMO in Everbright & Pramerica Fund Management Company. He also worked for Hua An Fund Management Company and China Construction Bank. Mr. Zhang is a CFA Charterholder and holds an MSc degree in Finance from Lancaster University.



郭中宝 Jeffrey GUO

国泰君安证券海外业务部总经理
Managing Director, Head of Overseas Institutional Clients Department,
Guotai Junan Securities

现任国泰君安证券股份有限公司海外机构业务部总经理，曾在英国汇丰控股工作。

中国人民银行青年联合会常委，曾在《中国金融》、《中国债券》等发表多篇文章，并合译了《债市无疆》一书。

Before joining Guotai Junan, Mr Guo was with HSBC in London. He led World Bank's debut bond issuance in China. Currently, Mr GUO is a member of the Standing Committee of the Youth Federation of the People's Bank of China ("PBOC"). He graduated from London Business School. Mr GUO's articles were published in China Finance, China Bond. He translated "Bonds without Borders" (China Financial Publishing House) in 2015.

持续完善的基础设施REITs机制

Infrastructure REITs: Improving Mechanism for a New Investment Tool

讨论嘉宾/Panelists



江峰 JIANG Feng

中交投资有限公司总会计师
CFO of CCCC Investment Company Limited

江峰先生自2001年进入中国交建工作，先后担任财务资金部资金处处长、总经理助理、副总经理，2019年12月开始担任中交投资有限公司总会计师。

江峰先生在财务资金管理、债务融资、资产证券化等业务方面有着丰富的经验，他是中交REIT申报和发行上市的负责人。

Mr. Jiang has been working in CCCC since 2001, served as Director of the Treasury Division of the Finance Department, the Assistant to the General Manager, the Deputy General Manager and has been the CFO of CCCC Investment Co., Ltd. since December 2019.

Mr. Jiang has extensive experience in financial fund management, bonds, asset securitization and other finance businesses, and he is the person in charge of application and issuance of CCCC REIT.



曹玥 CAO Yue

富国基金管理有限公司 REITs业务总协调人
Head of REITs Dept., Fullgoal Fund Management Co., Ltd.

曹玥女士具有23年基金及资管从业经验，曾任富国基金营销策划总监、南方基金营销策划执行总监、东证资管产品及市场董事总经理。2015年加入富国资产（上海）管理有限公司担任总经理，带领团队进行金融创新，在资产证券化领域具有丰富经验。2021年起负责富国基金基础设施公募REITs主要牵头工作，并主导推动富国首创水务封闭式基础设施证券投资基金发行上市。

Ms. Cao has 23 years of experience in mutual fund and asset management. Prior to joining the firm, Ms. Cao was Marketing Director of Fullgoal Fund Management and Southern Asset Management, Managing Director of Orient Securities Asset Management, in charge of Product and Marketing Division. She joined Fullgoal Asset Management (SH) as General Manager since 2015, leading the team in financial innovation and having extensive experience in asset-backed securitization. Ms. Cao is in charge of C-REITs line of Fullgoal Fund Management and led the issuance of Fullgoal Capital Water REIT.



张晓丽 ZHANG Xiaoli

北京保障房中心总会计师
Chief Financial Officer, Beijing Public Housing Center

张晓丽女士，1976年出生，研究生学历，硕士学位，正高级会计师。现北京保障房中心有限公司总会计师，负责全面组织公司财务管理、企业融资和企业管理等工作，在财务管理、项目投融资和资本运营等领域具有超过20年的工作和管理经验。负责完成本次华夏-北京保障房中心租赁住房基础设施公募REIT发行工作。

Ms. Zhang Xiaoli, Senior Accountant, works as CFO of Beijing Public Housing Center. Ms. Zhang is currently in charge of Financial Management, Corporate Finance and Business Management of the company. She has been engaged in Investment & Financing and Capital Operation for more than 20 years. Ms. Zhang successfully led the issue of BPHC Public Housing REIT in 2022.



杨敏 Andy YANG

普洛斯中国高级副总裁，资本运营负责人
Senior Vice President, GLP China

杨敏先生在不动产投融资、资本运营、资产证券化领域拥有超过15年工作经验。作为普洛斯资本运营负责人，杨敏先生带领团队完成了超过500亿人民币金融产品的募集与发行。此外，他负责并领导普洛斯的公募REITs项目，推动普洛斯跻身中国首批基础设施公募REITs的行列。

Mr. Andy Yang has over 15 years of experience in real estate investment and financing, capital operation and asset securitization. Mr. Yang is responsible for capital operation of GLP China and has led the team to raise and issue over RMB 50 billion in real estate funds. He is also in charge of GLP's C-REIT project, which is among the first batch of REITs product issued in China.



徐刚 XU Gang

国泰君安证券资产管理公司融资决策委员会副主任

Vice Director of
the Financing Product Policy-making Committee,
Guotai Junan Securities Asset Management Co., Ltd

徐刚先生，法学博士，现为国泰君安证券资产管理公司融资决策委员会副主任，融资业务板块负责人，同时兼任公司不动产投资部总经理、结构金融部总经理，负责公司公募REITs、企业资产证券化、结构化金融产品等融资类业务。

Mr. Xu Gang, Doctor of Laws (LLD), Vice Director of the Financing Products Policy-making Committee, Guotai Junan Securities Asset Management Co., Ltd, and the director of Financial Products Line. Mr. Xu is also the Head of the Real Estate Investment Department, and the Structured Finance Department of the Company, in charge of the REITs, ABS, structured financial products and other financing services.



陈博亮 CHEN Boliang

招银理财固定收益投资部副总经理
Vice General Manager, Fixed Income Investment Dept.,
CMB Wealth Management

陈博亮，现任招银理财固定收益投资部副总经理，兼任研究部负责人，以及投资团队负责人。曾任中国农业银行金融市场部高级投资经理、兴银基金固定收益总监。

Mr. Chen Boliang is currently Vice General Manager of Fixed Income Investment Dept., CMB Wealth Management, responsible for research and portfolio management. He once served as Senior Portfolio Manager of Financial Market Dept., Agricultural Bank of China, and Director of Fixed Income, Xingyin Fund Co., Ltd.

交易所期权：高效的场内风险管理工具

SSE ETF Options: Efficient Risk Management Instruments

主持人/Moderator



王建国 WANG Jianguo

上海证券交易所创新产品部副总监
Executive Director, Product Innovation Department,
Shanghai Stock Exchange

王建国，金融学博士，现为上海证券交易所创新产品部副总监，2001年加入上海证券交易所，目前负责衍生品开发、拓展和交易机制优化等相关业务。

Jianguo Wang, Finance PhD, who joined Shanghai Stock Exchange in 2001, is currently executive director of Product Innovation Department, responsible for research and innovate derivative products. Before joining Shanghai Stock Exchange, Mr.Wang worked in China Foreign Exchange Trading Systems(CFETS).

讨论嘉宾/Panelists



王辉 WANG Hui

高盛（亚洲）全球市场部执行董事，衍生品做市交易负责人
Executive Director, Global Markets Division, Goldman Sachs (Asia) LLC

王辉先生现任高盛（亚洲）全球市场部执行董事，负责亚太地区期权、权证等衍生品做市相关业务及其量化交易策略。王辉先生于2008年至2015年在高盛纽约期权交易部门任职，负责场内期权定价，做市及策略开发，2015年加入香港办公室，负责开展亚太地区期权等产品做市业务至今。王辉先生拥有北京大学金融数学学士学位及美国哥伦比亚大学统计学博士学位。

Wang Hui is Executive Director at Goldman Sachs (Asia) LLC, Global Markets Division. He is in charge of the Options and Warrants market making and quantitative trading strategies in Asia. From 2008 to 2015, Mr. Wang worked in the Goldman Sachs Options trading team in New York for Options pricing and strategies, and then joined Goldman Sachs (Asia) LLC in Hong Kong to expand the Options and Warrants Market-Making business in Asia. Wang Hui has a bachelor's degree in Financial Mathematics from Peking University and a PhD degree in Statistics from Columbia University.



康晨 Christie KANG

中金公司董事总经理，股票业务部国内业务执行负责人
Managing Director and Deputy Head of EQ Mainland Region, CICC

康晨女士2001年加入中国国际金融股份有限公司，现任股票业务部国内业务执行负责人、国内产品业务负责人。作为主要分管管理层、先后参与组建并管理主经纪商业台和股票衍生品业务台，曾分管北京地区销售交易业务。加入中金公司前，康晨女士曾任职于招商银行、华夏基金管理公司，在股票业务领域拥有超过二十年的执业经历和管理经验。

Ms. Kang has over 20 years' experience in financial industry with focus on equities business. She joined China International Capital Corporation's(CICC) Equities Department (EQ, formerly Sales & Trading Department) in 2001 and currently is Deputy Head of EQ Mainland Region/ Head of EQ Mainland Product Team. As a member of EQ Management Committee, Ms. Kang is the founder and in charge of prime brokerage (PB) desk and equity derivatives solution (EDS) desk. Prior to that, she was Head of CICC's Sales & Trading BJ. Before joining CICC, Ms. Kang worked at China Merchants Bank and China Asset Management Co., Ltd.



胡锡莎 HU Xisha

华泰证券金融创新部负责人，华泰国际金融控股有限公司董事
华泰金控股权衍生品部主管

Head of Financial Innovation Dept., Huatai Securities;
Director, Huatai International Financial Holdings Ltd.;
Head of Equity Derivatives Dept., Huatai Financial Holdings(Hong Kong)Ltd.

胡锡莎女士，现任华泰金控股权衍生品部主管，拥有超过10年投行从业经验，兼具国内投行和国际投行复合背景。加入华泰金控之前，胡女士参与创建中信证券的股权衍生品业务，并担任中信证券国际股权衍生品交易主管。此前还曾任瑞银香港股权衍生品部董事，瑞银伦敦股权衍生品部联席董事。

胡锡莎女士拥有巴黎高科国立路桥学校金融数学硕士学位以及南京大学数学学士学位。

HU Xisha joined Huatai Financial Holdings(Hong Kong)Limited in October 2017 as the Managing Director,Head of Equity Derivatives.Before joining Huatai, Xisha served as Executive Director and Head of Equity Derivatives Trading at CITIC Securities International. She also served as Director for UBS London and Hong Kong with over 10 years work experience in the industry.

Ms. Hu obtained her master's degree in Financial Mathematics from Ecole des Ponts ParisTech and bachelor's degree in Mathematics from Naniing University.



吴泽智 WU Zezhi

东方证券固定收益业务总部总经理，金融衍生品业务总部总经理
Head of FICC Dept., Head of Equity Derivatives Dept., Orient Securities

吴泽智先生于2005年加入东方证券，现任东方证券固定收益业务总部总经理兼金融衍生品业务总部总经理，上海财经大学经济学博士学位。

Mr. Wu, Zezhi joined Orient Securities in 2005. He currently serves as both Head of FICC and Head of Equity Derivatives business. Mr. Wu obtained his Ph.D. in Economics from Shanghai University of Finance and Economics.



罗文杰 Jennifer LUO

南方基金指数投资部总经理，基金经理
General Manager of the Index Investment Department
and Portfolio Manager, China Southern Asset Management Co., Ltd

罗文杰女士于2008年9月加入南方基金，现任指数投资部总经理。她在指数投资和量化研究方面拥有超过16年的经验，加入南方基金前，她任职于摩根士丹利投资银行（纽约）。她拥有南加州大学数学金融硕士学位和加州大学计算机科学硕士学位。

Ms. Luo joined the company in Sep 2008. She is now the General Manager of the Index Investment Department. Ms. Luo worked at Morgan Stanley (New York), and has over 16 years of experience in quantitative and indexing research and investment. After joining China Southern Asset Management, Ms. Luo holds a Master degree in Mathematical Finance from University of Southern California, and a Master degree in Computer Science from University of California.

交易所的数字化转型

Digital Transformation of SSE

主题演讲/Keynote Speech



黄越 HUANG Yue

上交所技术有限公司总经理
Director of SSE Technology Co., Ltd.

黄越，工学博士，于2004年进入上海证券交易所工作，现任上交所技术有限公司总经理。黄越先生长期从事证券行业信息技术研发管理工作，目前负责上海证券交易所信息技术系统及重要技术基础设施的开发、建设和运行保障工作，为会员等市场参与者提供技术服务和创新产品服务。

Huang Yue, a doctor of engineering, joined Shanghai Stock Exchange in 2004 and is now the general manager of Shanghai Stock Exchange Technology Co., Ltd. Mr. Huang Yue has been engaged in the research and development management of information technology in the securities industry for a long time. At present, he is responsible for the development, construction and operation guarantee of Shanghai Stock Exchange's information technology system and important technical infrastructure, and provides technical services and innovative product services for members and other market participants.

主持人/Moderator



刘政言 LIU Zhengyan

上交所技术公司金融科技部总经理
Director of Fintech Department SSE Technology Co., Ltd.

刘政言，证券从业9年。拥有19年的金融科技工作、管理经验。于2019年加入上交所技术有限责任公司，曾服务于汇添富基金、华信证券等行业经营机构。在云计算、大数据等领域拥有丰富经验。

Liu Zhengyan, director of Fintech Department SSE Tech with 9 years' experience in securities industry and 19 years' financial technology working and management experience. He joined SSE Technology Co., Ltd in 2019, and served in 99Fund, Huaxin Securities and other industrial operating institutions. He has rich experience in cloud computing, big data and other fields.

讨论嘉宾/Panelists



陈溯 Marco CHEN

首席信息官
Chief Information Officer

陈溯现任路博迈基金管理（中国）有限公司首席信息官，负责监管公司所有的IT基础设施和相关业务系统。陈溯在2018年加入路博迈投资管理（上海）有限公司担任信息技术总监。陈溯在多家外资公司和多个行业拥有超过17年的IT工作经验，先后担任系统分析师，信息技术经理，信息技术服务交付经理、项目经理等职位。有多个中大型项目的负责经验，具有扎实的信息科技知识基础。能够运用先进的技术团队管理理念，准确把握互联网技术发展的方向趋势，提供专业的信息技术服务。

Marco Chen currently the Chief Information Officer at Neuberger Berman Fund Management (China Limited). Marco oversees all IT infrastructure and Business IT. Marco joined the firm in 2018 as Information Technology Director. Marco has over 17 years of IT working experience within global firms and multiple industries, he works as IT system analyst, IT manager, IT delivery manager and project manager. He has experience in charge of several medium and large projects and have a solid foundation of information technology knowledge. He can use the advanced technology team management concept, grasp the direction and trend of Internet technology development accurately and provide professional IT services.



杨晓虹 YANG Xiaohong

高盛高华证券有限责任公司和北京高华证券有限责任公司
首席信息官,董事总经理

Chief Information Officer & Managing Director of
Goldman Sachs Gao Hua Securities Company Limited
and Beijing Gao Hua Securities Company Limited

杨晓虹女士，董事总经理，高盛高华证券及北京高华证券有限责任公司首席信息官及管理委员会成员，风险管理执行小组成员，乾坤期货董事及风险管理委员会委员。2000年加入高盛日本。自2009年至今担任中国证券业协会信息技术委员会委员。拥有北京工业大学半导体物理硕士学位，之后就读东京大学理学部计算机博士课程。

Ms. Yang is Managing Director, the chief information officer and member of the management committee of Goldman Sachs Gao Hua Securities Company Limited and Beijing Gao Hua Securities Company Limited, and a member of the Risk Management Executive Committee at same time. She is Director and a member of the Risk Management Committee of Qiankun Futures. She joined Goldman Sachs Tokyo in 2000. Since 2009, she has served as a member of the Information Technology Committee of the Securities Association of China (SAC). Ms. Yang holds Master Degree in Semi-Conductor Physics from Beijing Polytechnic University, and studied in University of Tokyo for Computer Science Doctoral Program.



李洋 LI Yang

星展证券（中国）有限公司首席信息官
CIO, DBS Securities (China) Co., Ltd.

李洋先生现任星展证券（中国）有限公司首席信息官，曾任职于中国国际金融有限公司、首创证券股份有限公司。他毕业于南开大学，并在中国科学院获得博士学位。

Yang Li is currently the CIO of the DBS Securities(China) Co., Ltd and used to work for CICC and Capital Securities LLC.. He graduated from Nankai University and earned a Ph.D. at the Institute of Software Chinese Academic Sciences.



许锋 XU Feng

大和证券（中国）信息技术负责人
Head of IT, Daiwa Securities (China) Co., Ltd.

许锋，证券从业13年。硕士毕业后进入金融行业，拥有17年的金融科技工作、管理经验。于2021年加入大和证券，加入大和之前，曾服务过中信证券、华兴证券等经营机构。在企业数字化转型、跨境数据复合治理、云计算、区块链等FinTech技术运用拥有丰富经验。

Mr. Feng Xu, IT head of Daiwa China with 13 years' experience in securities industry, and 17 years' financial technology working and management experience after obtaining a master's degree. He used to work for CITIC Securities, China Renaissance Securities before joining Daiwa in 2021. He has extensive experience in digital transformation, and FinTech practice such as cross-border data composite governance, cloud computing, blockchain, etc.